

IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

Application No. : 10/743,394  
Applicants: Chiang et al.,  
Filing Date: 12/22/2003  
Title: EVAPORATOR FOR MEDIUM  
TEMPERATURE REFRIGERATED  
MERCHANDISER  
Art Unit: 3744  
Examiner: Ali, M.  
Docket No. : 10133A

Commissioner for Patents  
Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING**

In accordance with 37 C.F.R. § 1.8, the undersigned hereby certifies that the following correspondence:

- (i) Fee Transmittal; and
- (ii) Information Disclosure Statement

is being mailed with the United States Postal Service via first class mail to the USPTO at the above address on this date of March 31, 2005.

**THIS IS A DUPLICATE COPY WHICH HAS ALREADY BEEN SUBMITTED  
BY FACSIMILE ON MARCH 31, 2005.**

Respectfully submitted,

*Elizabeth P. Trzcinski*  
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**IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE**

|  |                  |                      |
|--|------------------|----------------------|
| <b>INFORMATION<br/>DISCLOSURE STATEMENT<br/>BY APPLICANT</b> | Application. No. | <b>10/743,394</b>    |
|  | Filing Date      | <b>12/22/2003</b>    |
|  | Inventor(s)      | <b>Chiang et al.</b> |
|  | Art Unit         | <b>3744</b>          |
|  | Examiner Name    | <b>Ali, M.</b>       |
| Sheet 1 of 1   | Docket No.       | <b>10133A</b>        |

**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

**US PATENT DOCUMENTS**

| Examiner<br>Initials | Document Number<br>Number-Kind Code | Publication date<br>MM/YYYY | Name of Patentee of Applicant |
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|                       |  |                    |  |
|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|

**PATENT**

|  |                  |                |                        |
|--|------------------|----------------|------------------------|
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**FOREIGN PATENT DOCUMENTS**

| <b>Examiner<br/>Initials</b> | <b>Foreign Patent Document<br/>Country Code-Number-<br/>Kind Code</b> | <b>Publication date<br/>MM/YYYY</b> | <b>Name of Patentee of Applicant</b> |
|------------------------------|---|-------------------------------------|--------------------------------------|
|                              | <b>EP 1316119 A*</b>  | <b>10/1969</b>                      |                                      |
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\* Submitted Via Mail

|                               |  |                            |  |
|-------------------------------|--|----------------------------|--|
| <b>Examiner<br/>Signature</b> |  | <b>Date<br/>Considered</b> |  |
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PATENT

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Docket No. : 10133A

Commissioner for Patents  
Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants hereby file an Information Disclosure Statement in accordance with 37 C.F.R. § 1.97 in the above-referenced application for consideration before the US PTO. By the filing of this Information Disclosure Statement, Applicants are not representing that a search has been made in accordance with 37 C.F.R. § 1.97(g). The information cited herein shall not be construed to be an admission that the information is, or is considered to be, material to patentability as defined in § 1.56. 37 C.F.R. § 1.97(h).

\_\_\_\_ 37 C.F.R. § 1.97(b). This Information Disclosure Statement is being filed by the Applicants:

Within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d);

Within three months of the date of entry of the national stage as set forth in § 1.491 in an international application;

Before the mailing of a first Office action on the merits; or

Before the mailing of a first Office action after the filing of a request for continued examination under § 1.114.

X 37 C.F.R. § 1.97(c). This Information Disclosure Statement is being filed by the Applicants after the period specified in 37 C.F.R. § 1.97(b), but before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, and is accompanied by one a statement specified 37 C.F.R. § 1.97(e) or the fee set forth in § 1.17(p).

\_\_\_\_ Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. 37 C.F.R. § 1.97(e)(1).

\_\_\_\_ No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement. 37 C.F.R. § 1.97(e)(2).

X The \$180.00 fee set forth in §1.17(p).

\_\_\_\_ 37 C.F.R. § 1.97(d). This Information Disclosure Statement is being filed by the Applicants after the period specified in 37 C.F.R. § 1.97(c), and on or before payment of the issue fee, and is accompanied by the statement specified 37 C.F.R. § 1.97(e) and the fee set forth in §1.17(p).

\_\_\_\_ Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. 37 C.F.R. § 1.97(e)(1).

\_\_\_\_ No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement. 37 C.F.R. § 1.97(e)(2).

The \$180.00 fee set forth in § 1.17(p) is enclosed herewith.

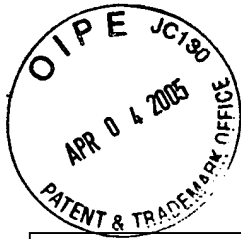
Any questions pertaining to the submission of this Information Disclosure Statement or the prosecution of this application should be directed to the undersigned at (860) 674-3457.

Respectfully submitted,

By: Christine M. Szwerc  
Christine M. Szwerc  
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Date: March 31, 2005

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PATENT

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| <b>FEE TRANSMITTAL<br/>FOR FY 2005</b> | Application. No. | 10/743,394    |
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|  | Inventor(s)      | Chiang et al. |
|  | Art Unit         | 3744          |
|  | Examiner Name    | Ali, M.       |
| <b>TOTAL PAYMENT \$180.00</b>          | Docket No.       | 10133A        |

Commissioner for Patents  
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Alexandria, VA 22313-1450

**METHOD OF PAYMENT**

The Director is authorized to:

charge the fee(s) indicated below;  
credit any overpayments; and  
charge any additional fee(s) or any underpayment(s) as maybe required for this filing;

to the following deposit account:

**DEPOSIT ACCOUNT: 03-0835**  
**DEPOSIT ACCOUNT NAME: Carrier Corporation.**

**FEE CALCULATION**

1. \$180.00

**TOTAL PAYMENT \$180.00**

**SUBMITTED BY:**

*Christine M. Szwerc*

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Attorney for Applicants  
Reg. No. 43,177  
Date: March 31, 2005

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